



Application form

Individuals (Non-US investors)

Private investors

Please fill out the application form and attach a certified copy of your passport. Send the documents to: Tundra Fonder AB, Box 5216, 102 45 Stockholm, Sweden

We will confirm receipt of documentation as well as client registration to the contact details stated in the application form, and you can then transfer funds to subscribe to the bank account stated on page 4, for the respective fund. Please use your name and social security number as reference for the payment, and send an advice of the transfer to order@tundrafonder.se.

Please note that subscription of funds only will be recorded by Tundra Fonder AB once upon receipt of required documents, signed by the client attached with a certified copy of passport.

FAQ

What does “certified copy“ mean?

A certified copy of passport would be a copy of such identification, dated and signed by another person, together with printed name and contact details.

Can I transfer funds for subscription before I have been accepted as a client?

You can transfer funds but a subscription will only take place once you have been registered as a client. Therefore, there is a risk of a delay in investment.

Private investors and CRS

Information is sent to the tax authorities according to the CRS (Common Reporting Standard). CRS was implemented at European Union level through the Directive on Administrative Cooperation (Directive 2014/107/UE), known as “DAC 2”.

Processing of personal data

The Company keeps a register of clients who have registered or purchased units in any of the Company’s funds. The register contains personal data such as name, personal identity number, postal address, telephone number, electronic addresses and information based on the use of the Company’s services. By signing this document, you consent to this processing.

Banking details

Fund Name	Bank Account	Trading	ISIN Code
Tundra Sustainable Frontier Fund Class A SEK	SEB: 5851-10 783 55 IBAN: SE83 5000 0000 0585 1107 8355 BIC: ESSESESS	Daily	SE0004 211 282
Tundra Sustainable Frontier Fund Class C EUR	SEB: 5864-82 006 01 IBAN: SE97 5000 0000 0586 4820 0601 BIC: ESSESESS	Daily	SE0005 222 338
Tundra Sustainable Frontier Fund Class D USD	SEB: 5864-82 095 52 IBAN: SE47 5000 0000 0586 4820 9552 BIC: ESSESESS	Daily	SE0005 222 346
Tundra Sustainable Frontier Fund Class E NOK	SEB: SEB 5864-82 181 52 SE65 5000 0000 0586 4821 8152 BIC: ESSESESS	Daily	SE0005 222 353

Trading Schedule

Redemption order received by Tundra Fonder AB are legally binding and can only be cancelled if Tundra Fonder AB agrees to cancellation.

Subscription

Subscription takes place at the price established on the banking day on which the subscription request and cash settlement are received into the Fund's bank account, the "Subscription Date". Requests for subscription and cash settlement must be received by Tundra Fonder AB no later than 2.30 pm (CET) on the Subscription Date for subscription to take place on the Subscription Date. Otherwise, the subscription will take place on the subsequent Subscription Date.

Redemption

Redemption takes place at the price established on the banking day on which the redemption request is received by Tundra Fonder AB, the "Redemption Date". Requests for redemption must be received by Tundra Fonder AB no later than 2.30 pm (CET) on the Redemption Date for redemption to take place on the Redemption Date. Otherwise, the redemption will take place on the subsequent Redemption Date.

Please read the Full Prospectus as it includes additional information in regards to subscription and redemption. Publication of daily price per fund unit (NAV) is published at Tundra Fonder AB's website: www.tundrafonder.se/en, and can also be received from Tundra Fonder AB upon request. The fund share price is also available on Bloomberg, please see below.

Fund Name	ISIN	Bloomberg
Tundra Sustainable Frontier Fund A SEK	SE0004 211 282	TUNDFRO SS
Tundra Sustainable Frontier Fund C EUR	SE0005 222 338	TUNDFRC SS
Tundra Sustainable Frontier Fund D USD	SE0005 222 349	TUNDFRU SS
Tundra Sustainable Frontier Fund E NOK	SE0005 222 353	TUNDFRN SS

Last name	First name	Social security number
Tax domicile		Nationality
Street address	Zip code	City
Country	Phone incl. country code	Mobile phone
E-mail (The contract notes and statements will be sent to the email address, unless requested otherwise by the client)		

Banking details

Document from the client's bank showing that the person/legal entity is the owner of the account has been enclosed/provided.

Name of bank
BIC/SWIFT code
Bank account number (IBAN)

AML/KYC Questionnaire

AML/KYC

According to the Swedish Act (2017:630) on measures against money laundering and financing of terrorism as in regards to identity control, a certified copy of a passport has to be enclosed to the application. Tundra Fonder AB has no obligation to fulfil a subscription if the application is not fulfilled. Tundra Fonder AB does not advice in any financial activity referred to in Swedish Act (2003:862) on financial advice to consumers. By signing this document, you agree that Tundra Fonder AB not offering such service and that you will not receive any such service.

1. Politically Exposed Persons

During the 18 months, has the investor, or any of the investor's closest family members or somebody the investor has or has had a close business relationship with, been entrusted with prominent public functions¹?

- Yes
- No

¹ Prominent public functions include (a) heads of State, heads of government, ministers and deputy or assistant ministers; (b) members of parliament or of similar legislative bodies; (c) members of the governing bodies of political parties; (d) members of supreme courts, of constitutional courts or of other high-level judicial bodies, the decisions of which are not subject to further appeal, except in exceptional circumstances; (e) members of courts of auditors or of the boards of central banks; (f) ambassadors, chargés d'affaires and high-ranking officers in the armed forces; (g) members of the administrative, management or supervisory bodies of State-owned enterprises; (h) directors, deputy directors and members of the board or equivalent function of an international organization.

If you have answered "Yes" to the above, you have to fill out the PEP-declaration, which will be sent to you.

2. Nature of business relationship

- One-off payment
- Regular savings (e.g. monthly investment)
- Other, please state: _____

3. What amount do you estimate that an average transaction with Tundra Fonder will amount to?

- 100 000 – 200 000 EUR
- 300 000 – 500 000 EUR
- More than 500 000 EUR

4. Most common source of invested capital:

- Revenue from the company we represent
- Property income
- Income from sale of company
- Other, please state: _____

5. Are you taxable in the United States due to citizenship or due to other reasons?

This applies to you in case:

- You have a tax connection to the United States (applicable to private investors as well as real principals controlling more than 10% of a legal entity's shares or votes).
- You have a "Green card" (permanent residence) in the United States.
- You are a United States citizen.

- Yes, please add your TIN (Taxpayer Identification number): _____
- No

Personal data

Consent to the processing of my personal data by Tundra Fonder: By issuing this consent, I accept the registration by Tundra Fonder AB ('the Company'), company registration number 556838-6303, Box 5216, 102 45 Stockholm, Sweden of my personal data, such as name, personal identity number, postal address, telephone number, electronic addresses and information based upon the use of the Company's services or informational materials. The Company processes personal data for the purposes of determining how many fund units their clients own, sending statements to the Swedish Tax Agency, and process your holdings at CRS, preparing and distributing settlement notes and semi-annual and annual statements and to distribute information via email or postal letter. Personal data are disclosed to public authorities only where so required by law or official decision. Personal data are stored for the duration of the customer relationship and for a period thereafter, or in accordance with special legislation in the financial services sector such as the Swedish Act on Measures against Money Laundering and Terrorist Financing (2017:630) which is based and harmonized with the fourth money laundering directive, Directive (EU) 2015/849 of 20 May 2015 on the prevention of the use of the financial system for the purposes of money laundering or terrorist financing, which normally means that the Company is required by law to retain personal data for a longer period than stipulated in the General Data Protection Regulation (2016/679).

Certification

I hereby certify that the information above is current and correct. I vow to disclose to Tundra Fonder AB any new information in the event of any changes or updates to the details given.

Signature
City, date
Printed name